

ISO 27001 Certified



NSDL - Trusted by more than One Crore account holders

**'KNOWLEDGE WINS'**  
contest. Kindly refer  
to pg. No.7 for  
more information

Visit by delegates from Securities & Exchange Commission, Nigeria to NSDL on July 24, 2013



Issuance of 'Annual Statement - RGESS' to RGESS designated depository account holders

In order to provide RGESS annual statements to the RGESS designated clients, RGESS annual statements are made available in the following ways:

- Participants can download the RGESS annual statements from the intranet site of NSDL i.e. <https://i-Assist> at the link 'Annual Statement for RGESS (ASR)' & handover the same to clients either in electronic form (digitally signed) or in physical form (duly attested and signed by the authorised signatory of the Participant), as deemed appropriate.
- RGESS designated clients can also download the RGESS annual statements from the corporate website of NSDL i.e. [www.nsdل.com.in](http://www.nsdل.com.in) or eservices website i.e. <https://eservices.nsdل.com>. Procedure to be followed by the client to download Annual Statement is as follows:

1. Go to the NSDL websites viz., [www.nsdل.com.in](http://www.nsdل.com.in) or <https://eservices.nsdل.com>
2. Click on the link 'New Retail Investor Certificate / Annual Statement for RGESS'
3. Provide the following credentials:
  - a) DP ID
  - b) Client ID
  - c) PAN
  - d) Last 4 digits of Bank Account No. (Bank Account No. captured in the depository account of investor in NSDL system)
  - e) Verification Code (as displayed in the image on the screen)
4. Click on submit button and download the Annual Statement
5. Kindly note that fields viz. DP ID, Client ID, PAN, Bank Account No. and Verification code which are highlighted with "\*" mark are mandatory fields.

Further details about these circulars are posted on NSDL website [www.nsdل.com.in](http://www.nsdل.com.in).

(Ref: Circular Nos. NSDL/POLICY/2013/052 dated April 9, 2013 and NSDL/POLICY/2013/0101 dated August 1, 2013)

SEBI's guidelines on Investment by Qualified Foreign Investors (QFIs)

SEBI has issued clarifications with respect to certain guidelines on the issues related to investment by QFIs as mentioned below:

- (a) Opening a new demat account by a foreign investor, if he/she already holds a FDI account prior to setting up of a QFI demat account.

## Inside :

### ➤ Performance Summary

Investor Accounts .....	1,28,42,056
Participants .....	281
Participant Service Centres .....	14,440
Demat Custody .....	₹ 75,19,828 Cr.
Settlement .....	₹ 1,21,134 Cr.
Companies available for demat .....	11,214
Instruments	
❖ Debentures/Bonds .....	9,025
❖ Securitised Instruments .....	949
❖ Commercial Papers .....	1,391
❖ Certificates of Deposit .....	1,179

### ➤ Corporate Action Statistics

### ➤ Companies Name Change

### ➤ List of New Participant Centres

### ➤ Securities Admitted For Dematerialisation

### ➤ Calendar of Events

### ➤ Topic of Interest

# NSDL UPDATE

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- An eligible entity shall be allowed to open only one demat account for the transactions under QFI route in terms of SEBI Circular dated January 13, 2012. However, such entity shall also be permitted to open one more demat account for doing transactions under FDI route. Further, both the aforesaid demat accounts shall be opened with the same Qualified Participant (QP) to enable the QP to monitor the aggregate investment limit of 5 percent per ISIN in terms of Clause 4 of SEBI circular dated June 7, 2012.

## (b) Nomination facility for QFI demat accounts

- As per the SEBI guidelines, QFI will be permitted to nominate any individual, who is eligible to invest in Indian securities market. Further, nomination shall be permitted at the time of opening of QFI demat account/ subsequent to account opening.
- However, at the time of transmission of securities, if the nominee does not meet the eligibility criteria applicable to QFI, such nominee shall only be allowed to sell the existing securities lying in QFI demat account and shall not be allowed to make fresh purchases.

Further details about this circular are posted on NSDL website [www.nsd.co.in](http://www.nsd.co.in).

(Ref: Circular No. NSDL/POLICY/2013/0100 dated August 1, 2013)

## Operations from Disaster Recovery Site (DRS)

NSDL shifts its operations to its DRS at regular intervals to check its readiness and to demonstrate to the market about NSDL's capability to conduct the entire operations from the DRS. NSDL shifted its live operations to the backup site on July 9, 2013 after the EOD (End of the Day). The live operations continued at DRS till EOD of July 12, 2013 and thereafter the operations were reverted to the primary site.

(Ref: Circular No. NSDL/POLICY/2013/0088 dated July 9, 2013)

## Training Programmes for Participants:

### ➤ NCDO/NISM training / certification programmes for Participants

To facilitate officials of Participants to prepare and appear for NISM-Series VI Depository Operations Certification Examination (DOCE), NSDL conducted six such training programmes in July 2013 at Ahmedabad, Bangalore, Mumbai and New Delhi which were attended by 144 officials of various Participants.

### ➤ CPE Training Programme for Participants

NSDL, an approved NISM Accredited Continuing Professional Education (CPE) Provider offers CPE training programmes for eligible associated persons of Participants. In July 2013, NSDL conducted nine such training programmes at various locations viz., Ahmedabad, Bangalore, Chennai, Hyderabad, Kolkata, Mumbai and New Delhi which were attended by 175 officials of Participants.

### ➤ KYC Training Programme for Participants

In July 2013, NSDL conducted three 'KYC requirements for Account Opening' training programmes at various locations viz., Ahmedabad, Chennai and Kolkata which were attended by 43 officials of Participants. The program focuses on latest developments viz., procedure for opening various depository accounts, BSDA, RGESS, etc., to facilitate efficient functioning of depository operations of Participants.

## Investor Education initiatives undertaken by NSDL

- **Investor Depository Meets (IDMs):** NSDL interacts with investors throughout the country through a series of 'Investor Depository Meets'. These programs are organised to spread awareness amongst the accountholders and others about the new facilities introduced by NSDL, the safety features and precautions to be taken by accountholders while operating in the depository environment. During July 2013, NSDL organised 15 IDMs at Akola and Amravati in Maharashtra, Kolar, Mandya and Madikeri in Karnataka, Asansol, Durgapur and Burdwan in West Bengal, Mahabubnagar, Nandyal and Kurnool in Andhra Pradesh, Shahjahanpur and Bareilly in Uttar Pradesh & Jodhpur and Bhilwara in Rajasthan which were attended by 920 investors.

- **Joint Awareness Programmes with Securities and Exchange Board of India (SEBI) & National Stock Exchange of India Limited (NSE):** In order to reach out to masses spread across the country and to apprise them about the facilities available in NSDL depository system, NSDL participated in the Joint Awareness Programme with SEBI & NSE organized by NSE in July 2013 at Xavier Labour Relations Institute (XLRI) in Jharkhand which was attended by 100 students and 10 faculties.

- **Stock Clinic Programme with Participant:** To spread awareness about Depository related services & the new features introduced in NSDL Depository system, NSDL conducted Stock Clinic Programme with Bank of India to educate employees and investors of Bank of India regarding benefits of dematerialization. In July 2013, NSDL conducted five such programmes in Mumbai & Indore which were attended by 252 persons.

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- **Investor Awareness Programme with Geojit BNP Paribas Financial Services Limited:** In order to reach out to investors that are spread across the country and to apprise them about the facilities available in NSDL depository system and the awareness on stock markets, NSDL conducted two Investor Awareness Programmes with Geojit BNP Paribas Financial Services Limited in July 2013 at Ambala and Karnal which were attended by 150 officials.
- **Training Programme organized by Institutions:** In July 2013, NSDL conducted a training programme for 70 students participating in the Market ka Eklavya contest, an event organized by M.L. Dahanukar College of Commerce, Mumbai in association with NSDL. Various aspects on Depository related services were explained to students attending this event.
- **Training Programme for International delegates:** In July 2013, NSDL conducted a training programme for delegates from Securities & Exchange Commission, Nigeria. This programme covered various aspects of Depository system.

## Performance Summary

### Investors :

Month -Year	Client Accounts
	No. of Accounts (lakh)
Jul-11	117.80
Jul-12	122.56
Jul-13	128.42

### Participants :

Month - Year	No. of Participants	Service Centres	Cities / Towns
Jul-11	296	13,195	1,421
Jul-12	284	14,156	1,553
Jul-13	281	14,440	1,504

### Dematerialisation :

Month - Year	No. of Dematerialised Securities (Cr.)	Value of Dematerialised Securities (₹ Cr.)
Jul-11	49,627	64,02,486
Jul-12	60,770	71,58,923
Jul-13	71,755	75,19,828

### Settlement :

Month - Year	Quantity of shares settled in dematerialised form (Cr.)	Value of shares settled in dematerialised form (₹ Cr.)
Jul-11	603	103,050
Jul-12	588	98,192
Jul-13	570	121,134

(Single Side)

### Companies

#### EQUITY

Month - Year	No. of Companies Operational
Jul-11	9,084
Jul-12	10,052
Jul-13	11,214

#### DEBENTURES / BONDS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-11	590	6,994	8,83,698
Jul-12	644	8,091	10,91,671
Jul-13	769	9,025	13,36,424

#### SECURITISED INSTRUMENTS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-11	583	898	38,141
Jul-12	493	738	33,474
Jul-13	639	949	58,632

#### COMMERCIAL PAPERS

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-11	233	1,563	1,34,044
Jul-12	243	1,697	1,79,565
Jul-13	235	1,391	1,67,983

#### CERTIFICATES OF DEPOSIT

Month - Year	No. of Issuers	No. of active instruments	Demat Value (₹ Cr.)
Jul-11	44	1,342	4,34,683
Jul-12	46	1,445	4,43,467
Jul-13	42	1,179	3,72,090

# NSDL UPDATE

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## Corporate Action Statistics

Sr. No.	Description	During July 2013		For F.Y. 2013 - 14 (Upto July 2013)	
		No. of Allottees	No. of Securities	No. of Allottees	No. of Securities
1	Equity Shares	2,395,597	8,341,029,974	3,223,258	32,098,410,933
2	Preference Shares	366	97,860,443	7,422	2,014,149,580
3	Bonds	1,811	554,942,380	11,368	630,807,038
4	Commercial Papers	432	1,004,485	2,217	5,070,569
5	Certificates of Deposit	97	938,911	1,539	18,300,422
6	Securitized Instruments	7	2,724,201	286	18,934,559
7	Mutual Fund Units	20,212	142,341,693	93,606	866,201,518
8	Warrants	0	0	13	27,340,000
9	Commodities	129	1,404,610	728	2,229,765
10	Mutual Fund Units (Trase)	9,915	63,327,874	48,651	408,454,689
	<b>Total</b>	<b>2,428,566</b>	<b>9,205,574,570</b>	<b>3,389,088</b>	<b>36,089,899,073</b>

## List of Companies that have changed Names

(During July 2013)

Sr. No.	Old Name	New Name
1	Malti Textile Mills Limited	Effingo Textile & Trading Limited
2	Ram Kaashyap Investment Limited	Gemma Oiltech (India) Limited
3	AVH Chemicals Private Limited	AVH Private Limited
4	ABB Limited	ABB India Limited
5	The Dhampur Sugar Mills Limited	Dhampur Sugar Mills Limited
6	Gati Ship Private Limited	Gati Ship Limited
7	Gloster Jute Mills Limited	Gloster Limited
8	Visa Coke Limited	VISA SunCoke Limited
9	Welspun Infra Enterprises Limited	Welspun Enterprises Limited
10	Adventz Infracore India Limited	Zuari Infracore India Limited
11	Newever Infracore Limited	Newever Trade Wings Limited
12	Tata Johnson Controls Automotive Limited	Johnson Controls Automotive Limited
13	NSL Nagapatnam Power and Infracore Private Limited	NSL Nagapatnam Power and Infracore Limited
14	Principal Pharmaceuticals & Chemicals Limited	iStreet Network Limited
15	Blue Coast Hotels & Resorts Limited	Blue Coast Hotels Limited
16	Amrit Jal Ventures Limited	Amrit Jal Ventures Private Limited
17	Devadatta Build Tech Private Limited	Mantri Gardenview Homes Private Limited
18	Cube Fintech Private Limited	Abhideep Global Finance Private Limited
19	Zee News Limited	Zee Media Corporation Limited
20	Jindal South West Holdings Limited	JSW Holdings Limited
21	Nutriline Confectionery Company Limited	Nutriline Confectionery Company Private Limited
22	ST-CMS Electric Company Private Limited	TAQA Neyveli Power Company Private Limited

## New Participant Centres

<b>ANDHRA PRADESH</b>	<b>PANCHKULA</b>	<b>MYSORE</b>	<b>JODHPUR</b>
<b>KARIMNAGAR</b> HDFC Bank Limited Main Road Besides SBH (ADB), Manthani, Ph.: 08729-279333	Sharekhan Limited Sector 25 Near Main Market, Ph.: 0172-3042203/09817788999	Sharekhan Limited D.D. URS Road, Ph.: 09844048278	ICICI Bank Limited Konark Shopping Centre, Ph.: 0291-4200532
<b>PILERU</b> HDFC Bank Limited Tirupati Road, Ph.: 08584-240228	<b>HIMACHAL PRADESH</b>	<b>KERALA</b>	Kotak Mahindra Bank Limited Paota, Mandore Road, Ph.: 0291-5152700
<b>VISAKHAPATNAM</b> Sharekhan Limited C-193 Deepanjali Nagar, Ph.: 09581734420	<b>DHARAMPUR</b> HDFC Bank Limited NH-22 Shimla Highway, Ph.: 01792-265300	<b>KOYILANDY</b> Geojit BNP Paribas Financial Services Limited Kozhikode, Ph.: 08086161216	<b>NASIRABAD</b> HDFC Bank Limited Subhash Ganj, Ph.: 01491-224022
<b>ASSAM</b>	<b>ROHRU</b> HDFC Bank Limited Aditya Bhagwan House, Dist.-Shimla, Ph.: 01781-241823	<b>PERINTHALMANNA</b> Sharekhan Limited Thootha PO, Ph.: 04933-227839/ 09446239603	<b>TAMIL NADU</b>
<b>DHEMAJI</b> Sharekhan Limited P.O. Gowal Chapari, Ph.: 09954502569	<b>THEOG</b> HDFC Bank Limited Prem Ghat, Main Market, Ph.: 01783-238110	<b>MADHYA PRADESH</b>	<b>CUDDALORE</b> Sharekhan Limited Neyveli Main Road, Vadalur, Ph.: 07299629902
<b>BIHAR</b>	<b>JAMMU AND KASHMIR</b>	<b>INDORE</b> SKI Capital Services Limited Near Palasia, Ph.: 09926207565	<b>MADURAI</b> HDFC Bank Limited K. K. Nagar, Ph.: 0452-4350115
<b>PATNA</b> SKI Capital Services Limited Chowk Patna City, Ph.: 09835050452	<b>JAMMU</b> HDFC Bank Limited Sector 3, Channi Himmat, Ph.: 0191-2467573	<b>KATNI</b> SKI Capital Services Limited Main Road, Madhav Nagar, Ph.: 09926865090	<b>PALLADAM</b> HDFC Bank Limited Trichy Road, Ph.: 04255-250560
<b>DELHI</b>	<b>PAMPORE</b> HDFC Bank Limited GM Complex Kadalbal Dist, Pulwama, Ph.: 01933-222215/16	<b>MAHARASHTRA</b>	<b>TRIPURA</b> AGARTALA HDFC Bank Limited Shibnagar, College Road
<b>DELHI</b> Sharekhan Limited West Vinod Nagar, Ph.: 09811481899	<b>SRINAGAR</b> HDFC Bank Limited Mughal Plaza, Near J.D. Automobiles, Ph.: 0194-2314019	<b>GARGOTI</b> HDFC Bank Limited Bhagwa Chowk, Gram Panchayat Road, Ph.: 02324-222123	<b>UTTAR PRADESH</b>
<b>GUJARAT</b>	<b>JHARKHAND</b>	<b>KARAD</b> HDFC Bank Limited Shop No 8 to 11, P C Corner 123B Budhwar Peth, Station Road, Ph.: 02164-220677/577	<b>NOIDA</b> Sharekhan Limited F 44 Sec. 39, Ph.: 09871085273
<b>BHAVNAGAR</b> Sharekhan Limited Tal Talaja, Ph.: 09924017607	<b>GAMHARIA</b> IDBI Bank Limited Tata Kandra Main Road, Seraikela, Kharsawan, Ph.: 0657-2386079	<b>MUMBAI</b> Sharekhan Limited Andheri (W), Ph.: 022-2621528/ 09702097071	<b>TUNDLA</b> HDFC Bank Limited Station Road, Ph.: 05612-227044
<b>BORSAD</b> HDFC Bank Limited At Rudel TA-Borsad, Ph.: 02696-286607	<b>JAMSHEDPUR</b> G. Raj & Co. (Consultants) Limited Main Road, Bistupur, Ph.: 0657-3293358 / 3290737	<b>PUNE</b> Sharekhan Limited Nr. Ganesh Garden Dapodi, Ph.: 020-27146888/07773966420	<b>WEST BENGAL</b>
<b>KALOL</b> IDBI Bank Limited Citi Mall II, Nav Jeevan Mill Compound, Ph.: 027-64222134	<b>KARNATAKA</b>	<b>THANE</b> Sharekhan Limited Kopri Colony, Thane (E), Ph.: 022-25980254/09820389296	<b>HOOGLY</b> Sharekhan Limited Raghunathpur Dankuni, Ph.: 09836578363
<b>RAJKOT</b> Sharekhan Limited Samarpan, Ph.: 09824075444	<b>BANGALORE</b> Geojit BNP Paribas Financial Services Limited Gangamma Gudi Road, Ph.: 080-27933307 / 9739432339	<b>PUNJAB</b>	<b>JALPAIGURI</b> Sharekhan Limited Near Barobisha Police Fari, Ph.: 08509322659
<b>SURAT</b> Sharekhan Limited Ved Road, Ph.: 0261-6543562/ 09824124009	<b>BIJAPUR</b> SKI Capital Services Limited Tajbodi Road, Ph.: 08352-250863/ 08892759863	<b>FARIDKOT</b> Sharekhan Limited Ph.: 01639-2501907/09464077930	<b>KOLKATA</b> HDFC Bank Limited 45 Lenin Sarani, Ph.: 033-40602711
<b>VADODARA</b> Sharekhan Limited Bhavanipura II Nizampura, Ph.: 0265-2780725/08156002036	<b>CHIKMAGALUR</b> SKI Capital Services Limited M G Road Chikmagalur, Ph.: 08867661335 / 09900755577	<b>TARN TARAN</b> HDFC Bank Limited Rurivala Bazar, Near PNB, Ph.: 01859-284216	<b>SOUTH 24 PARGANAS</b> Sharekhan Limited Indiranagar Diamond Harbour, Ph.: 08158874585
<b>HARYANA</b>	<b>MANDYA</b> HDFC Bank Limited Opp to Railway Station, B M Road, Ph.: 08232-228016	<b>RAJASTHAN</b>	
<b>AMBALA</b> HDFC Bank Limited Mahesh Nagar, Ambala Cantt, Ph.: 0171-2653284		<b>BEAWAR</b> IDBI Bank Limited Roop Bani Cinema Marg, Ph.: 01462-250675	
<b>FARIDABAD</b> Kotak Mahindra Bank Limited NIT, Near 1 & 2 Chowk, Ph.: 0129-4317533		<b>BHIWADI</b> Sharekhan Limited Alwar Bypass Road, Ph.: 09990748045/08092563231	
<b>GURGAON</b> ICICI Bank Limited Sector-15, Ph.: 0124-4309071 / 73			
<b>KOTAK MAHINDRA BANK LIMITED</b> Sushant Lok-1, Ph.: 0124-4100383			

# NSDL UPDATE

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## Securities admitted for Dematerialisation during July 2013

COMPANY	ISIN	COMPANY	ISIN
Akshaya Signature Homes Private Limited	INE170P01011	Mahle Filter Systems (India) Limited	INE755F01010
Alps Hospital Limited	INE182P01016	Mipco Seamless Rings (Gujarat) Limited	INE860N01012
Amrapali Capital and Finance Services Limited	INE218P01018	Muladhar Developers Private Limited	INE251P01019
Balgopal Holdings and Traders Ltd	INE243P01016	Natural Power Ventures Private Limited	INE208P01019
Bhargava Properties Private Limited	INE219P01016	Panama Holding Private Limited	INE215P01014
Buildcube Constructions Private Limited	INE265P01019	Parsvnath Estate Developers Private Limited	INE244P01014
Cambay Exports Private Limited	INE275P01018	PCM Cement Concrete Private Limited	INE194P01011
Chemtech Industrial Valves Limited	INE212P01011	Pipetel Communications Private Limited	INE198P01012
Citywings Agencies Private Limited	INE269P01011	Prabhat Telecoms (India) Limited	INE171P01019
Command Plastipack Limited	INE166P01019	Puriya Industrial Packaging Limited	INE748G01013
Den Ambey Cable Networks Private Limited	INE256P01018	Quantum Nirman Private Limited	INE248P01015
Den Digital Entertainment Gujarat Private Limited	INE255P01010	Renew Wind Energy (AP) Private Limited	INE184P01012
Den Kashi Cable Network Private Limited	INE257P01016	Renew Wind Energy (Devgarh) Private Limited	INE186P01017
Den Satellite Network Private Limited	INE258P01014	Renew Wind Energy (MP) Private Limited	INE187P01015
DP World Private Limited	INE270P01019	Renew Wind Energy (Orissa) Private Limited	INE185P01019
Dynamic Tradeserv Private Limited	INE199P01010	Renew Wind Energy (Welturi) Private Limited	INE183P01014
Elegant Niketan Private Limited	INE246P01019	Sanjha Ghar Nirmaan Private Limited	INE250P01011
Exposure Media Marketing Private Limited	INE230P01013	Satkar Finlease Limited	INE279P01010
Forbes Bumi Armada Offshore Limited	INE221P01012	Scenic City Projects Private Limited	INE262P01016
GCM Commodity & Derivatives Limited	INE200P01016	Shree Sukhakarta Developers Private Limited	INE261P01018
Ghotaringa Minerals Limited	INE241P01010	Smarthomes Developers (India) Private Limited	INE278P01012
GLF Lifestyle Brands Private Limited	INE908001017	Social Pr Outsourcing Private Limited	INE260P01010
Global Nonwovens Limited	INE180P01010	SP Finance and Trading Limited	INE232P01019
GVK Ratle Hydro Electric Project Private Limited	INE245P01011	Sree Jayajothi Cements Limited	INE234P01015
HDIL Leisures Private Limited	INE240P01012	Suave Construction Private Limited	INE249P01013
Hindustan Ports Private Limited	INE263P01014	Sudershan Biotech Limited	INE273P01013
Holcim (India) Private Limited	INE285P01017	Sukumar Enviro Farms Private Limited	INE231P01011
IIFL Alternate Asset Advisors Limited	INE196P01016	Suryakrupa Farms and Constructions Private Limited	INE209P01017
India Power Corporation (Haldia) Limited	INE910M01017	The Kishore Trading Company Limited	INE816O01012
INDSUR GEARS LIMITED	INE167P01017	Twenty First Century Iron & Steels Limited	INE202P01012
Jaisukh Dealers Limited	INE190P01019	Twenty First Century Wire Rods Limited	INE203P01010
JKM Infra Projects Limited	INE188P01013	Unity Building Assets Private Limited	INE271P01017
Kamats Holiday Resorts (Silvassa) Limited	INE229P01015	Varam Bioenergy Private Limited	INE274P01011
KBC Advanced Technologies Private Limited	INE259P01012	Vishuddhi Developers Private Limited	INE247P01017
Krishna Enterprises (Housing & Infrastructures) India Pvt Ltd.	INE193P01013	VKJ Infradevelopers Limited	INE211P01013
Kusum Healthcare Private Limited	INE195P01018	Welspun Enterprises Limited	INE072P01019
L&T Infra Debt Fund Limited	INE235P01012	Zicom Saas Private Limited	INE192P01015
Lakshya Forum for Competitions Private Limited	INE220P01014	Zion Steel Limited	INE169P01013

## NSDL Calendar of Events

### Investor Depository Meets

September 6, 2013	Karad, Maharashtra	September 21, 2013	Dehradun, Uttarakhand
September 7, 2013	Solapur, Maharashtra	September 26, 2013	Dindigul, Tamil Nadu
September 7, 2013	Silvassa, Gujarat	September 27, 2013	Karaikudi, Tamil Nadu
September 12, 2013	Balasore, Orissa	September 27, 2013	Bilimora, Gujarat
September 13, 2013	Baripada, Orissa	September 28, 2013	Tuticorin, Tamil Nadu
September 14, 2013	Cuttack, Orissa	September 28, 2013	Navasari, Gujarat
September 20, 2013	Haridwar, Uttarakhand		

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## NSDL Calendar of Events

### Compliance Requirement

Sr. No.	Particulars	Reference	Due date	Mode of sending
1.	Networth Certificate and Audited Financial Statements.	Circular No. NSDL/PI/98/414 dated September 1, 1998.	September 30th every year.	By hard copy to Participant Interface Department.

## 'KNOWLEDGE WINS' Contest

As on July 31, 2013, what is the number of dematerialised securities (In Cr.) & value of dematerialised securities (In ₹Cr.) in NSDL system?

Send your replies providing your contact details (Contant Name, address & contact no.) with the subject 'Knowledge Wins Contest - July 2013' to [info@nsdl.co.in](mailto:info@nsdl.co.in)

Lucky 25  
Winners will  
Win Free  
Goodies

#### Terms and Conditions

- NSDL shall be solely responsible for the execution and administration of this Contest.
- This Contest is only open to Indian Citizens. (NSDL employees are not allowed to participate in this contest.)
- All personal details submitted must be accurate and complete and are subject to proof upon request by NSDL.
- NSDL reserves the right, at any time, to verify the validity of entries and entrants and to disqualify any entry not submitted in accordance with these terms or which tampers with the entry process.
- NSDL reserves the right to discontinue the contest at any given point of time without prior intimation.
- All prize drawings will made on a strictly random basis and the decision made by NSDL will be final

## NSDL Offices

### Head Office

**Mumbai**  
4th Floor, 'A' Wing,  
Trade World,  
Kamala Mills Compound,  
Senapati Bapat Marg,  
Lower Parel,  
Mumbai - 400013  
Tel: (022) 24994200 /  
40904200  
Fax: (022) 24976351

### Branch Offices

**Ahmedabad**  
Unit No. 407, 4th floor, 3rd Eye One Commercial  
Complex Co-op. Soc. Ltd.,  
C. G. Road, Near Panchvati Circle,  
Ahmedabad - 380006  
Tel: (079) 26461376, Fax: (079) 26461375

**Kolkata**  
5th Floor, The Millenium, Flat No. 5W, 235 / 2A,  
Acharya Jagdish Chandra Bose Road,  
Kolkata - 700020  
Tel: (033) 22814661 / 62, Fax: (033) 22891945

**Chennai**  
6A, 6th Floor, Kences Towers,  
#1 Ramkrishna Street, North Usman Road,  
T. Nagar, Chennai - 600017  
Tel: (044) 28143917 / 18, Fax: (044) 28144593

**New Delhi**  
409 / 410, Ashoka Estate Building, 4th Floor,  
Barakhamba Road, Connaught Place,  
New Delhi - 110001  
Tel: (011) 23353815 / 17, Fax: (011) 23353756

### Investor Relationship Cell

**Officer-In-Charge,**  
National Securities Depository Ltd.  
4th Floor, 'A' Wing, Trade World, Kamala Mills Compound,  
Senapati Bapat Marg, Lower Parel, Mumbai - 400013  
Tel: (022) 24994200 / 40904200  
Fax: (022) 24976351  
Email: [relations@nsdl.co.in](mailto:relations@nsdl.co.in)

### NSDL Certification Programme

**Officer-In-Charge,**  
National Securities Depository Ltd.  
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## TOPIC OF INTEREST

### Know more about Rajiv Gandhi Equity Savings Scheme (RGESS)

*(Continued from July 2013 issue)*

**24. Can I claim tax deduction in respect of the amount invested in eligible securities which are specified in Form B?**

**Ans.** No

**25. How to make RGESS eligible investments?**

**Ans.** Open a new demat account with any DP and designate it under RGESS or designate your existing demat account under RGESS through Form A. *If you want to avail of Basic Service Demat Account facility, you may inform your DP to designate your account accordingly.*

You may approach any SEBI registered stock broker for opening a trading account for making investment in any eligible stocks in the stock market or for applying for eligible IPOs.

In case you are investing in mutual funds through any distributor, you need to simply provide your demat account details like Demat Account Number and DP ID for receiving credit of the mutual fund units into the demat account.

For investing in any IPO/NFO of the eligible securities, you can subscribe for the same and provide your demat account details like Demat Account Number and DP ID for receiving credit of the eligible securities into the demat account.

**26. What will be the mode of holding eligible securities?**

**Ans.** The mode of holding eligible securities under RGESS will be in a 'Demat account'. You cannot hold securities in physical form to enjoy the benefits of RGESS.

**27. Should I need to get my mutual fund / ETF units also in demat form?**

**Ans.** Yes. For getting your mutual fund units in demat form, make a request with your Asset Management Company or RTA. For more details please see the instructions / guidance of CDSL and NSDL.

**28. Is there any need for the investor to open a dedicated demat account for availing of RGESS benefits? Can I hold other securities i.e., other than eligible securities in my demat account designated for RGESS?**

**Ans.** There is no need to open a separate dedicated account for availing of the RGESS benefits. The demat account through which RGESS benefits are being availed of can be used to keep shares/ securities other than RGESS-compliant securities. Investments in shares other than RGESS-compliant securities shall not be subject to the conditions of RGESS, nor shall be counted for extending the tax benefits under RGESS.